#### The Irrationality of $\pi$

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#### Defining the rational (and irrational) numbers

Let's define what it means for a number to be "rational" or "irrational." You only need to know the definition of a rational number, because irrational just means "not rational."

#### Definition: Rational Number

A number x is said to be **rational** if (and only if)  $x = \frac{p}{q}$  for integers p and  $q \neq 0$ . If no such integers exist, x is said to be **irrational**.

We care about rational and irrational numbers because a lot of things go wrong in math without the existence of irrational numbers. For example, we will show later that  $\log_{10}(3)$  is irrational.

Is the product of irrational numbers always irrational? What about the sum?

If a number's decimal representation terminates after a finite amount of digits, is it rational? Explain.

# $\log_{10}(3)$ is irrational

Suppose for a contradiction that  $\log_{10}(3)$  is rational. In particular, for integers p and q,  $\log_{10}(3) = \frac{p}{q}$ . This means

$$10^{\frac{p}{q}} = 3 \Longleftrightarrow 10^p = 3^q.$$

However, since p and q are integers, this can't be true since 10 is even and 3 is odd. An odd number raised to any positive integer power remains odd, and a similar statement can be made with even numbers. Hence we have reached a contradiction and  $\log_{10}(3)$  must be irrational.

# Why is $\pi$ irrational? (1/6)

This proof is due to Nicolas Bourbaki, a group of French mathematicians. Its presentation has been modified for Calc BC.

First we will study properties of the following function, which is defined for each integer  $n \geq 0$ :

$$g_n(x) = \frac{x^n(\pi - x)^n}{n!}$$

Please write this down, we will need it throughout the proof.

# Why is $\pi$ irrational? (2/6)

Taking a look at  $g_n$ , we see that if we expand the numerator  $x^n(\pi - x)^n$  as a polynomial, each term contains  $cx^m$ , for  $n \le m \le 2n$  and some constant c.

Using what we know about differentiating polynomials, this means that for  $0 \le k < n$ ,

$$g_n^{(k)}(0) = 0$$

since  $0^k = 0$  for k > 0. More relevant to our proof is that  $g_n^{(k)}(0)$  is an integer, since 0 is an integer.

# Why is $\pi$ irrational? (3/6)

Suppose, for a contradiction, that  $\pi = \frac{p}{q}$  for positive integers p and q. Define  $f_n(x) = q^n g_n(x)$ , and now we can rewrite this function by substituting  $\frac{p}{q}$  for  $\pi$ :

$$f_n(x) = q^n \frac{x^n (\pi - x)^n}{n!} = q^n \frac{x^n (\frac{p}{q} - x)^n}{n!} = \frac{x^n (p - qx)^n}{n!}.$$

For  $n \leq k \leq 2n$ , we see that the constant term of  $f_n^{(k)}$  is of the form  $\frac{ck!}{n!}$  for some integer c.

Since k > n,  $f_n^{(k)}(0)$  is an integer because the non-constant terms vanish upon differentiation at 0 and the product/sum of integers is an integer.



# Why is $\pi$ irrational? (4/6)

It also follows from the chain rule that for  $0 \le k \le 2n$ ,

$$g_n^{(k)}(x) = (-1)^k g_n^{(k)}(\pi - x).$$

So, we can conclude that  $f_n^{(k)}(0)$  and  $f_n^{(k)}(\pi)$  are integers for  $0 \le k \le 2n$ . Now, let's go a bit further and define

$$A_n := \int_0^{\pi} f_n(x) \sin(x) \, dx = q^n \int_0^{\pi} \frac{x^n (\pi - x)^n}{n!} \sin(x) \, dx.$$

Using the tabular method for repeated integration by parts (remember that  $f_n$  is a polynomial) and the continuity of the integrand, we see from the FTC that

$$A_n = \left[ -f_n(x)\cos(x) \right]_{x=0}^{x=\pi} \pm \dots \pm \int_0^{\pi} f_n^{(2n+1)}\sin(x) \, dx.$$



# Why is $\pi$ irrational? (5/6)

Because  $f_n$  is a polynomial of degree 2n,  $f_n^{(2n+1)}(x) = 0$  for all x. Thus the final term is zero. Since  $f_n^{(k)}(x)$ ,  $\sin(x)$ , and  $\cos(x)$  are integers for x = 0 and  $x = \pi$ ,  $A_n$  is an integer for all n.

Here is where we will make our contradiction. Let's study the same integral using some properties we already know. First, we know that for  $0 < x < \pi$ ,

$$\frac{x^n(\pi - x)^n}{n!}\sin(x) > 0.$$

Hence  $A_n > 0$ . Now consider the decreasing parabola  $x(\pi - x) = x\pi - x^2$ . Using our vertex formula, it follows that

$$x(\pi - x) \le \frac{\pi^2}{4}.$$



# Why is $\pi$ irrational? (6/6)

The previous inequality leads to

$$q^n \frac{x^n (\pi - x)^n}{n!} \sin(x) \le \left(\frac{q\pi^2}{4}\right)^n \frac{1}{n!}.$$

So, that means that for all n,

$$A_n < \int_0^{\pi} \left(\frac{q\pi^2}{4}\right)^n \frac{1}{n!} dx = \pi \left(\frac{q\pi^2}{4}\right)^n \frac{1}{n!}.$$

Then for sufficiently large  $n, 0 < A_n < 1$  (justified at the end). But this contradicts the fact that  $A_n$  is an integer for all n if  $\pi$  is rational.

Since there are no integers between 0 and 1,  $\pi$  is irrational.  $\square$ 



Why did we choose n! as the denominator of  $g_n$  (and  $f_n$ )?

We assumed p and q to be positive without loss of generality in the proof. Why does this proof also account for when p and q are assumed to be negative?

# Extra: Showing that $A_n < 1$ when n is sufficiently large

Pick  $N_0 > \frac{q\pi^2}{4}$  and  $B > \max\{\pi N_0^{N_0}, 4N_0\}$ . For  $n > j = \left\lceil \frac{B\pi^2 q}{4} \right\rceil$ ,

$$\prod_{k=j}^{n} \left( \frac{q\pi^2}{4} \right) \frac{1}{k} < \left( \frac{q\pi^2}{4j} \right)^{n-j+1} < \frac{1}{B^{n-j+1}} < \frac{1}{B}$$

so that

$$1 > B \prod_{k=j}^{n} \left( \frac{q\pi^2}{4} \right) \frac{1}{k} > B \prod_{k=N_0+1}^{j-1} \left( \frac{q\pi^2}{4} \right) \frac{1}{k} \prod_{k=j}^{n} \left( \frac{q\pi^2}{4} \right) \frac{1}{k} > A_n$$

since the denominator of the products are equivalent to n! excluding factors  $1, \ldots, N_0$ .

